

Effective on 12/08/2004.
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL

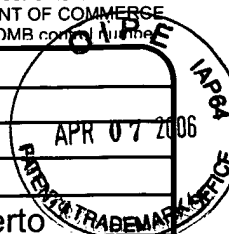
For FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)**130.00**

Complete if Known

Application Number **10/788,803**
Filing Date **2/27/2004**
First Named Inventor **Scott Musson**
Examiner Name **Barron Jr., Gilberto**
Art Unit **2173**
Attorney Docket No. **BEAS-01366US0**



METHOD OF PAYMENT (check all that apply)

- ☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____
- ☐ Deposit Account Deposit Account Number: **06-1325** Deposit Account Name: **23910 - Fliesler Meyer LLP**
- For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)
- ☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
- ☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

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FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

Total Claims _____ **Extra Claims** _____ **Fee (\$)** _____ **Fee Paid (\$)** _____

_____ - 20 or HP = _____ x _____ = _____

HP = highest number of total claims paid for, if greater than 20

Indep. Claims _____ **Extra Claims** _____ **Fee (\$)** _____ **Fee Paid (\$)** _____

_____ - 3 or HP = _____ x _____ = _____

HP = highest number of independent claims paid for, if greater than 3

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets _____ **Extra Sheets** _____ **Number of each additional 50 or fraction thereof** _____ **Fee (\$)** _____ **Fee Paid (\$)** _____

_____ - 100 = _____ / 50 = _____ (round up to a whole number) x _____ = _____

4. OTHER FEE(S)


Non-English Specification, \$130 fee (no small entity discount)

Other: **Fee Code:1464**

Fees Paid (\$)

130.00

SUBMITTED BY

Signature  Registration No. (Attorney/Agent) **37.819** Telephone **415.362.3800**

Name (Print/Type) **Paul A. Durdik** Date **APR 5, 2006**

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Attorney Docket No.: BEAS-01366US0
PDurdik/BEAS/1366US0/1366US0.Fee.Pet

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application

Inventor(s): Musson et al.

Appln. No.: 10/788,803

Confirm. No.: 7861

Filed: February 27, 2004

Title: System and Method for Containing Portlets

PATENT APPLICATION

Art Unit: 2173

Examiner: Unknown

Customer No. 23910**STATEMENT OF SHANE PEARSON IN SUPPORT OF
PETITION TO CORRECT INVENTORSHIP UNDER 37 C.F.R. §1.48**

I, Shane Pearson, declare that:

1. The inventive entity was set forth in error in the above-identified patent application on the Declaration for the patent application (hereinafter "Erroneous Declaration").
2. I was not named as an inventor in the Erroneous Declaration.
3. I am an actual inventor in the above-identified patent application.
4. The error in not naming me on the Erroneous Declaration occurred without deceptive intent on my part.
5. I understand that this Statement will be filed in the United States Patent and Trademark Office in order to provide satisfactory evidence indicating the error in inventorship in the above-identified application did not involve deceptive intent on my part.

Date: 1/18/2006By: J. Shane Pearson

Shane Pearson

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor(s): Musson et al.

Appln. No.: 10/788,803

Confirm. No.: 7861

Filed: February 27, 2004

Title: System and Method for Containing Portlets

PATENT APPLICATION

Art Unit: 2173

Examiner: Unknown

Customer No.: 23910

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that my residence, mailing address and citizenship are as stated below next to my name. I believe that I am the original, first and sole inventor (if one name is listed below), or first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SYSTEM AND METHOD FOR CONTAINING PORTLETS

the specification of which (check applicable ones):

_____ is filed herewith;
_____ ☒ was filed with the above-identified "Filed" date and "Appln. No."
_____ was amended on (or amended through) _____.

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose information which is material to the examination of the application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

(1) Full name of sole
or first inventor: Shane Pearson

(1) Residence: 3181 Ninth Street
Boulder, Colorado 80304

(1) Mailing Address: _____

(1) Citizenship: U.S.A.

(1) Inventor's signature: J. Shane Pearson

(1) Date: 7/15/05

(2) Full name of second
joint inventor: Jeffrey Sauls

(2) Residence: 9455 Mitchell Court
Longmont, Colorado 80503

(2) Mailing Address: _____

(2) Citizenship: U.S.A.

(2) Inventor's signature: [Signature]

(2) Date: 8/1/05



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor(s): Musson et al.

Appln. No.: 10/788,803

Confirm. No.: 7861

Filed: February 27, 2004

Title: System and Method for Containing Portlets

PATENT APPLICATION

Art Unit: 2173

Examiner: Unknown

Customer No. 23910

CONSENT OF ASSIGNEE TO CORRECTION OF INVENTORSHIP
UNDER 37 C.F.R. §1.48

The below-identified Assignee hereby consents to correction of the named inventors in the above-identified patent application as set forth in the accompanying petition which adds Shane Pearson and Jeffrey Sauls as inventors.

The undersigned certifies that Assignee is the owner of a right, title and interest in the above-identified patent application by virtue of an Assignment from the inventors to Assignee and that a true copy of the Assignments are attached hereto.

The Assignment has been reviewed and to the best of the undersigned's knowledge and belief, title to the above-identified patent application is in the Assignee. The undersigned (whose title is supplied below), is empowered to sign this certification on behalf of the Assignee.

Assignee: BEA Systems, Inc.

Assignee Type: Corporation

Signor's Name: Robert F. Donohue

Signor's Title: Senior Vice President, General Counsel

Signature: _____

Date: 7/19/05

JOINT TO CORPORATE ASSIGNMENT

WHEREAS, the undersigned Inventors:

- (1) Shane Peterson,
a resident of 3181 Ninth Street, Boulder, CO 80304; and
- (2) Jeffrey Sauls,
a resident of 9455 Mitchell Court, Longmont, CO 80503.

have invented certain new and useful improvements in:

SYSTEM AND METHOD FOR CONTAINING PORTLETS

and have executed a declaration or oath for an application for a United States patent disclosing and identifying the invention, said invention having been filed on the 27th day of February, 2004, and assigned U.S. Patent Application No. 10/788,803 (Attorney Docket No. BEAS -01366US0) which claims benefit to Application No. 60/450,991 filed on 02/28/03 (Attorney Docket No. BEAS-01347US0).

WHEREAS BEA Systems, Inc. (hereinafter termed "Assignee"), a corporation of the State of Delaware, having a place of business at 2315 North First Street, San Jose, State of CA 95131, wishes to acquire the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, certificates of invention and other forms of protection thereon (hereinafter termed "patents") applied for or granted in the United States and/or other countries.

NOW THEREFORE, for good and valuable consideration acknowledged by each of said Inventors to have been received in full from said Assignee:

1. Said Inventors do hereby sell, assign, transfer and convey to said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply in any or all countries of the world for patents, certificates of inventions or other governmental grants on said invention, including the right to apply for patents pursuant to the International Convention for the Protection of Industrial Property or pursuant to any other convention, treaty, agreement or understanding; (c) in and to any and all applications filed and any and all patents, certificates of inventions or other governmental grants granted on said invention in the United States or any other country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; (d) in and to each and every reissue or extension of any of said patents; and (e) in and to each and every patent claim resulting from a reexamination certificate for any and all of said patents.

2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and other countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, executing of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for complying with any duty of disclosure; (c) for prosecuting any of said applications; (d) for filing and prosecuting substitute, divisional,

successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.

4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Inventors have executed this instrument on the date as given below and delivered this instrument to said Assignee:

July 15, 2005
Date

(1) J. Shane Pearson
Shane Pearson

August 1, 2005
Date

(2) Jeffrey Sauls
Jeffrey Sauls

Projected Publication Date: 05/19/2005 ✓

Non-Publication Request: No ✓

Early Publication Request: No ✓

Title

System and method for containing portlets ✓

Preliminary Class

345

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

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